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PATENT  
Docket No. 325772021900

J1036 U.S.PTO  
09/781197



CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on February 13, 2001.

*Marieta Luke*  
Marieta Luke

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Koji TSUKADA

Serial No.: to be assigned

Filing Date: February 13, 2001

For: IMAGE READING APPARATUS

Examiner: to be assigned

Group Art Unit: to be assigned

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicant submits for consideration the documents listed on the attached Form PTO-1449. A copy of these documents are also submitted herewith.

The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted with the filing of a new U.S. patent application. No fee is required.

Applicant would appreciate the Examiner initialing and returning the copy of the Form PTO-1449 indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (I) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from these documents and the Patent and Trademark Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of these documents to **Deposit Account No. 03-1952**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: February 13, 2001

By:

  
Barry E. Bretschneider  
Registration No. 28,055

Morrison & Foerster LLP  
2000 Pennsylvania Avenue, N.W.  
Washington, D.C. 20006-1888  
Telephone: (202) 887-1545  
Facsimile: (202) 887-0763

<b>Form PTO-1449</b> <b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>	Docket Number 325772021900		Application Number to be assigned
	Applicant Koji TSUKADA		
	Filing Date February 13, 2001	Group Art Unit to be assigned	

J1036 S. 781197 Pro  
09/02/13/01

### U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	04/21/1998	5,742,406	Suzuki			

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	2.	12/08/1995	7-322130	Japan			
	3.	01/21/1997	9-23309	Japan			
	4.	05/27/1997	9-139819	Japan			
	5.	07/31/1997	9-200454	Japan			
	6.	10/27/1998	10-290399				

### OTHER DOCUMENTS

*(including author, title, Date, Pertinent Pages, Etc.)*

Examiner Initials	Ref. No.	Title
	3.	

EXAMINER: (examiner)

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.